

CASE EVALUATION

C/P/R is very selective about cases it recommends to clients.

In determining suitability, Cohen, Placitella & Roth considers the strength of the case, the client's losses, as well as the client's expressed interest in certain corporate governance or fraud issues.

On any potential matter, the firm sends a brief letter to the client that details the relevant issues and, if the client has interest, C/P/R can then meet with the client to discuss the potential case. On making its recommendation, C/P/R will consider all options, including whether the client should pursue lead plaintiff status in a class case, bring an independent action, monitor pending litigation, or consider some type of corporate reform action.

Cohen, Placitella & Roth works closely with clients to determine their level of involvement, manages clients' time commitment to a case, and always keeps clients informed of the progress of litigation and the upcoming court and filing dates and settlement negotiations. By making the decision to monitor security fraud cases and take action in those it deems appropriate, the institutional investor visibly demonstrates its fiduciary commitment to the long-term interests of the plan participants.

C/P/R has a long history of accomplishment and dedication to our clients. Our lawyers have compiled a record of success in both class action and individual cases:

- More than a thousand cases tried to verdict;
- Hundreds of millions of dollars in recoveries and verdicts;
- A jury verdict recognized by the *National Law Journal* in 2005 among the "Top 100 Verdicts in the U.S."

For a full description of our results, please visit our website at www.cprlaw.com.

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ATTORNEY ADVERTISEMENT. Before making your choice of an attorney, you should give this matter careful thought. The selection of an attorney is an important decision. Because every case is different, the descriptions of awards and cases previously handled are not meant to be a guarantee of success.

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SECURITIES LITIGATION

Commitment. Purpose. Results.

PHILADELPHIA, PA RED BANK, NJ LEMOYNE, PA BALA CYNWYD, PA PITTSBURGH, PA WASHINGTON, DC

PENNSYLVANIA PRINCIPAL OFFICE
TWO COMMERCE SQUARE, SUITE 2900
2001 MARKET STREET
PHILADELPHIA, PENNSYLVANIA 19103
215.567.3500

NEW JERSEY PRINCIPAL OFFICE
127 MAPLE AVENUE
RED BANK, NEW JERSEY 07701
732.747.9003

cprlaw.com

“It is hard to imagine that there is ever a buyer or seller who doesn’t rely on market integrity. Who would roll the dice in a crooked crap game?”

– Justice Harry Blackmun in United States Supreme Court Decision of *Basic, Inc. v Levinson*, (1988)

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SECURITIES LITIGATION

INVESTORS DEPEND ON AN HONEST MARKET

While laws are designed to protect the interests of shareholders, the portfolios of institutional investors have suffered large losses as a direct result of false financial reporting and the failure of corporate officers to live up to their fiduciary responsibility.

As a result, institutional investors and their trustees are taking steps to seek recovery of losses sustained due to corporate fraud and to seek corporate governance changes designed to benefit the shareholders going forward. In many cases, institutional investors have turned to Cohen, Placitella & Roth, P.C. for legal advice.

Since 2010, C/P/R has been rated by its peers as one of the top tier class action trial law firms in the country, according to the *U.S. News & World Report* – Best Lawyers® edition. We are cause-oriented, committed to promoting justice and making a difference in the lives of our clients and the community.

Over the last four decades the firm’s record of success has been based on extensive experience in litigating some of the nation’s most complex cases. We provide our clients with the knowledge and resources to uncover fraud, waste and abuse, analyze losses, and offer superior representation to recover those losses.

THE IMPORTANCE OF CASE SELECTION

C/P/R represents a select group of institutional investors, including public pension funds, union funds, and private investors. Our job is to monitor fund holdings and to advise investment fiduciaries if and when the portfolio has been damaged by securities fraud or corporate wrongdoing and develop the appropriate legal strategy. Legal fees are contingent on recovery and court approved, and all costs of litigation are advanced by the law firm.

Our team includes seasoned trial attorneys, attorney/accountants, and the former Controller of a major U.S. city, which provides us unique and valuable trial and accounting expertise. The firm is nationally active in securities fraud and corporate governance litigation.

Time is critical. Once securities fraud class litigation starts, an institutional investor has only sixty days to decide whether to serve as lead plaintiff. In order to make this decision, a client must understand all aspects, including assessment of the merits of the case, estimated losses, and other corporate governance considerations. C/P/R performs this extensive research before recommending or proceeding with such a case. Investors may decide to remain a member of the class, bring an individual action, or institute some type of corporate reform claim.

The responsibility and authority of being appointed lead plaintiff is significant. Lead plaintiffs have authority with regard to settlement, including economic recovery and corporate governance changes. The lead plaintiff also has the opportunity to speak directly to the board of directors and senior management on issues associated with corporate ethics, reform, organization, and governance.

SECURITIES PORTFOLIO MONITORING

Because there is a limited time to make critical decisions regarding securities litigation, institutional investors frequently request that C/P/R monitor the fund’s holdings.

/ SECURITIES MONITORING: THE BASICS

To keep our clients up-to-date with litigation that can impact their portfolio, C/P/R provides a Portfolio Monitoring System with no strings attached and at no cost. In conjunction with the client’s custodial bank and in strict confidence, we monitor each client’s portfolio, inform of pending and potential securities litigation, and advise them of each case.

C/P/R monitors clients’ transactions on an ongoing basis to determine if the client has any potential recovery in pending or potential cases. The clients have direct access to this information online through a secure website. Based on our evaluation of each client’s holdings, we will make recommendations regarding current or proposed litigation. Of course, it is the client’s decision whether to proceed in any matter, on a case-by-case basis.

/ FIDUCIARY RESPONSIBILITY AND HOW MONITORING ASSISTS OUR CLIENTS

Portfolio monitoring provides critical information regarding settled cases. Through our Portfolio Monitoring System C/P/R advises clients of any settlement in which they may participate. This allows our clients to ensure that their custodians submit settlement claim forms in a timely fashion, which can result in significant recoveries for the clients’ portfolios. For new cases and cases under investigation, monitoring provides clients with data regarding how they have been affected, and gives them the information to decide whether they should take an active role.

To find out more about our services or learn more about our Securities practice, contact Stewart L. Cohen, Esquire at 888.375.7600.

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ATTORNEYS AT LAW

www.cprlaw.com / Tel: 888.375.7600 / Fax: 215.567.6019

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